



Stony Brook Foundation

## Stock Transfer Form

### DTC Electronic Transfer

Thank you for your interest in making a gift in the form of a Stock Transfer. Please note that you must initiate all securities donations with your broker and consult your broker to ensure that the stock is eligible for electronic delivery over the Deposit Trust Company (DTC) system. This is the fastest, most secure and cost-effective method of donating securities.

Acknowledgment will be made in compliance with IRS regulations. Consult your tax advisor before making a charitable donation to any organization. Donation is made to:

Stony Brook Foundation (Tax Identification No: 11-6077945)

Legal Address: 270 Administration Building, Stony Brook, NY 11794-1188

Donors of public-traded securities, gifted outright, are entitled to a federal tax charitable deduction equal to the average of the high and low stock values on the date of the gift. Stock which is closely held, or not traded on the stock exchanges, will require an appraisal to determine value.

### Processing Procedure

1. Please notify the Advancement Office prior to initiating your stock transfer
2. The information you need to give to your broker is the following:
  - a. Administrator: David Smith
  - b. Phone: 631-632-4469
  - c. Clearing House: Charles Schwab & Co., Inc.
  - d. DTC #: 0164
  - e. SBF Account #: 1451-7544

***Please Note: For any mutual fund transfers, please contact the SBF office directly as additional information will be required.***

3. For direct broker transfers, the date of the gift will be the date securities are transferred to our account at Charles Schwab.
4. The Advancement Office will notify you at the conclusion of the transaction



# Stony Brook Foundation

**Please complete the information below to notify us of your gift of stock and help ensure that your gift is processed accurately**

Donor Name	
Donor Phone	Donor Email
Donor Address	
Broker Name	
Broker Address	
Broker Phone	Broker Email
Name and Type of Securities	
Security Symbol	Number of Shares
Date of Transfer	
Notes/Comments Regarding the Gift (If you worked with a Development Officer regarding this gift, please include their name(s) below)	

***Return form to:***

Stony Brook Foundation,  
Inc. 270 Administration  
Stony Brook University  
Stony Brook, NY 11794-1188