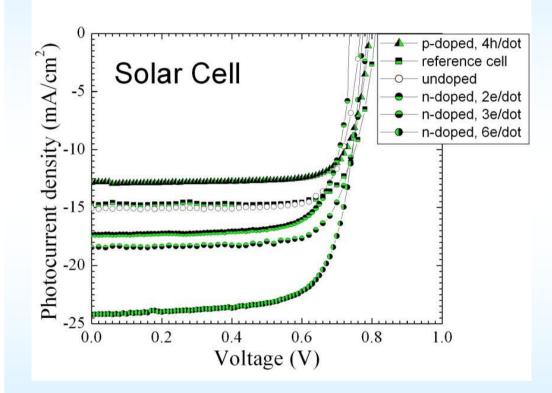
Nanostructured Solar Cells: From Academic Research to Commercial Devices

<u>V. Mitin^{1,2}, A. Sergeev^{1,2}, N. Vagidov^{1,2}, K. A. Sablon³, J. W. Little³ and K. Reinhardt⁴</u>

¹ "OPEN", <u>OptoElectronic Nanodevices LLC</u>, 4248 Ridge Lee Rd., Amhers
²University at Buffalo, The State University of New York, Buffalo, NY 14260
³U.S. Army Research Laboratory, Adelphi, MD 20783
⁴Air Force Office for Scientific Research, Arlington, VA 22203

Our Product

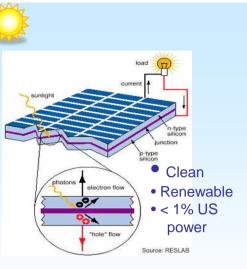
We demonstrated radical improvement of quantum-dot solar cells (SCs) provided by strong interdot doping, which creates Quantum dots with Built-In-Charge (Q-BIC):



a **50%** increase in photovoltaic efficiency in the n-doped GaAs solar cells that have quantum dots with built-in-dot charge (Q-BIC) of ~ 6 electrons per dot. In these Q-BIC solar cells the short circuit current density increases to 24.30 mA/cm² compared with 15.07 mA/cm² in undoped SCs without deterioration of the open circuit voltage .

OPtoElectronic Nanodevices LLC

The Next Generation of
High Efficiency Solar Cells
With Advanced Nanostructures



- **OPEN LLC** is a product and technology development company working on improved solar cell structures.
- Our Mission Develop the next generation of advanced solar cells with advanced nanostructures and license them to key manufacturers. These cells will be at least 50% and up to 100% more efficient than current solar cells.
- Founded upon research conducted at University at Buffalo
- Technologies have taken 5 years and \$3 M to develop

Market Need

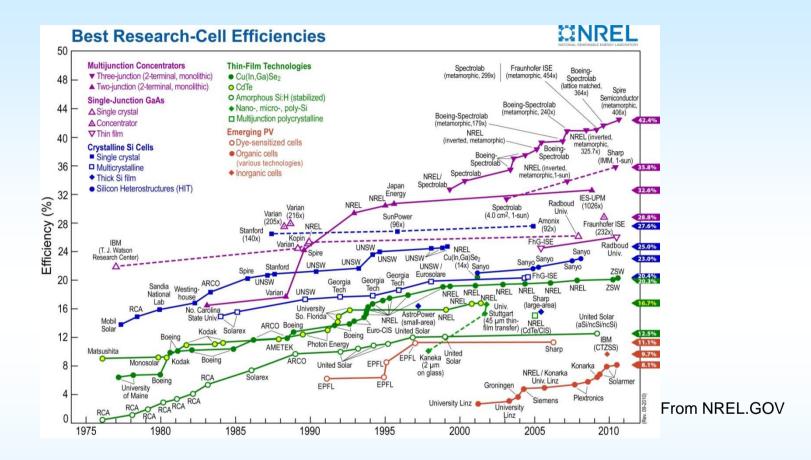
- Existing cells are:
 - Mostly inefficient (~20%)
 - If efficient (~40%) \rightarrow extremely expensive
 - Heavy and bulky
 - Conservative design \rightarrow Slow improvement
- Who cares?
 - DoD need lighter, more powerful cells
 - DoE trying to recover a US industry (5%)
 - Both DoD and DoE fund solar projects
 - Commercial users more affordable, lightweight cells ("10 million rooftops" bill proposal)
- Market size
 - 2010 \$5B, 20GW top 15 cell producers average 1 GW (\$250M each)



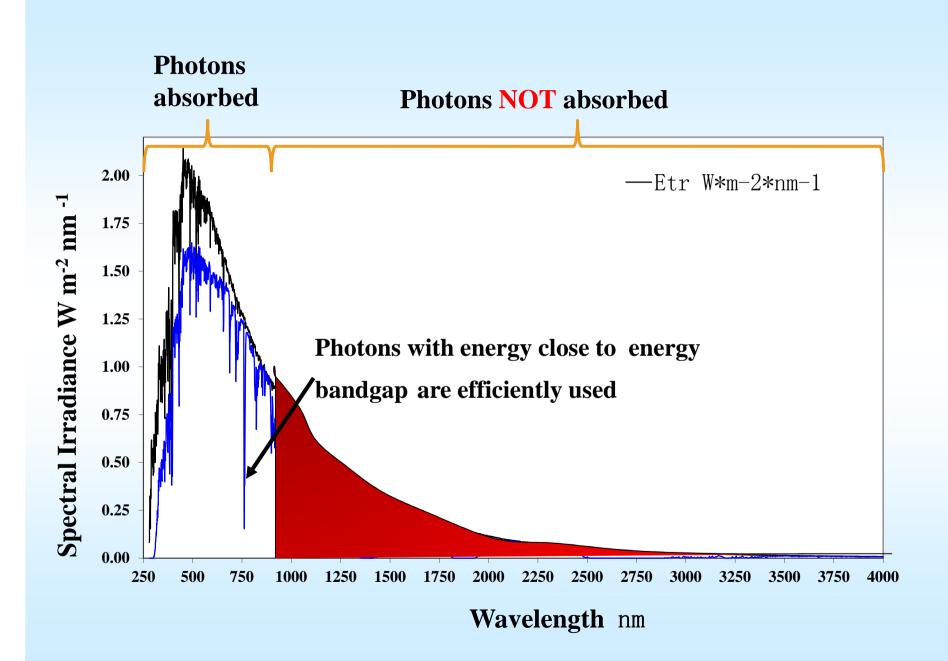




Best Research-Cell Efficiencies



- Little progress in standard technologies (c-Si, μc-Si, CIGS, CdTe).
 - e.g. Best efficiency for single crystal Si demonstrated in 1995.
 - Extremely difficult to generate new IP. Mostly cost-driven development.
- New approaches are needed !



High-efficiency solar cells: Background Multi-junction cell approach: Intermediate band approach:

•Several junctions with different bandgaps connected in series

•Maximum demonstrated efficiency for any solar cells: 42% with a 3-junction cell AlInGaP/GaAs/Ge •Challenges:

- Need to match currents of all the junctions
- May have to match lattice constants
- Close thermal expansion coefficients
- Expensive integration
- Some commercial use, e.g. for Mars rovers

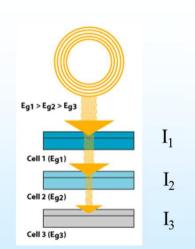
•Additional electron levels within the bandgap of host semiconductor

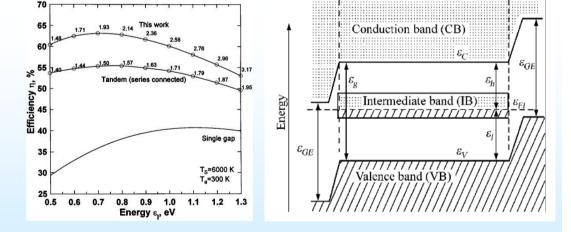
•No need to match currents of all transitions

•Can be realized with one material system (e.g. InAs quantum dots in AlGaAs matrix)

•Less expensive and less complex compared to multijunction systems

•In research stage, still has to be proved viable





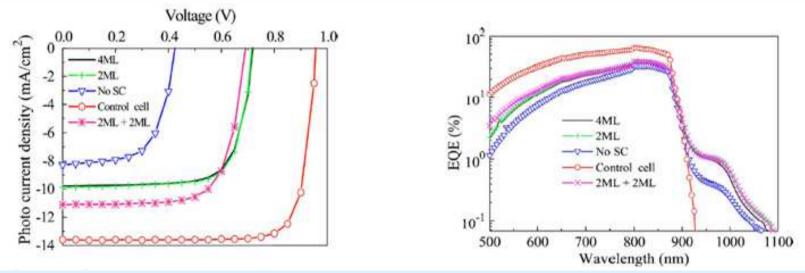
Schematic of multi-junction cell: $I_{total} = min (I_1, I_2, I_3)$

Schematic of an intermediate band cell, and theoretical efficiency limit for different cell designs (A. Luque et. al. 1997)

InAs QDs as Intermediate Band: Issues and the State of the Art

- No credible demonstration of efficiency improvement in QD SCs by others
- Our team, UB/ARL, is the first to show real improvements
- Issues:
 - QDs act as recombination centers
 - Band-to-band photocurrent reduced
 - Open circuit voltage drop
 - Reduced power output

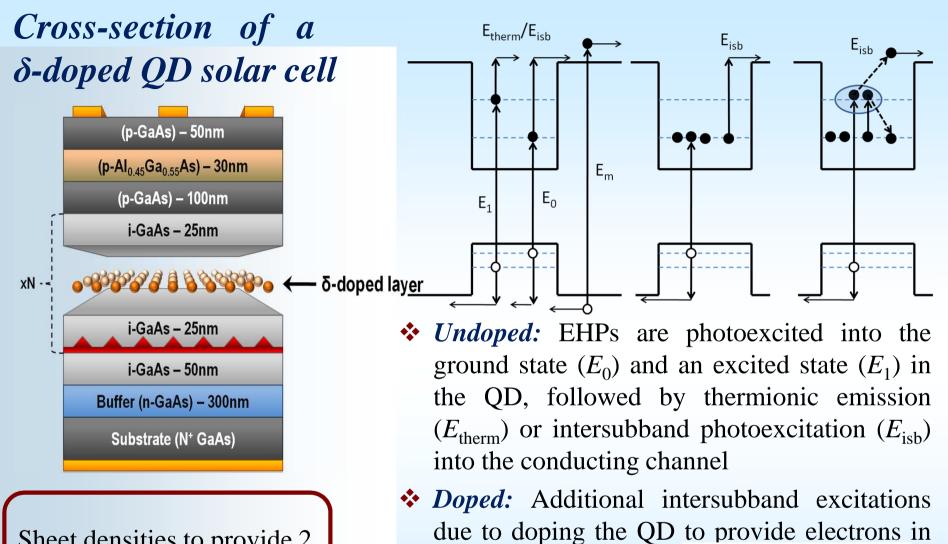
Example of published paper, where these issues are being addressed:



I-V characteristics show the drop of open circuit voltage in QD cells (290 mW/cm²)

The spectral dependence of the quantum efficiency in QD SC: increase in IR is accompanied with the drop in visible part of the spectrum

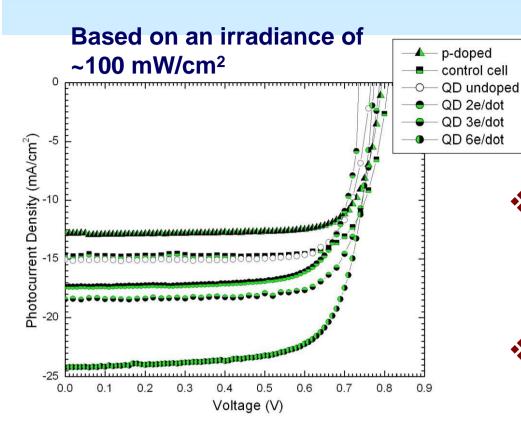
R. B. Laghumavarapu, et al., Appl. Phys. Lett. 91, 243115 (2007)



the ground state

Sheet densities to provide 2, 3, 4, and 6 carriers per dot

V_m y

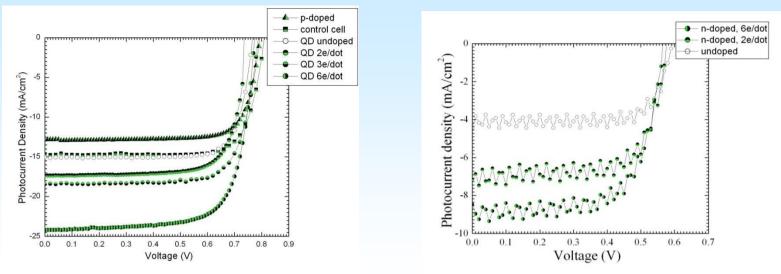


Dot population 0	J _{sc} (mA/cm²) 15.1	V _{oc} (V) 0.77	Fill Factor (%) 77	<u>Efficiency (%)</u> 9.31
2	17.3	0.74	76	9.73
3	18.5	0.79	75	12.1
6	24.3	0.78	72	14.0

Investigating effects of doping on the J_{SC} , V_{OC} and η

- The photocurrent density, J_{sc} increases from 15.07 mA/cm² to 24.3 mA/cm² with increasing dot population.
- The power conversion efficiency increased by 4.5%, 30%, and 50% with increasing doping levels to provide 2, 3, and 6 electrons per dot.
- This can attributed to dopantinduced generation processes.

Long Wavelength Effect

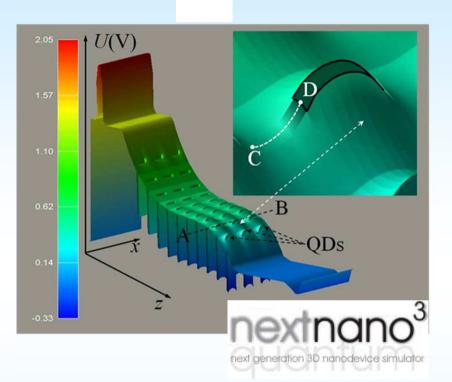


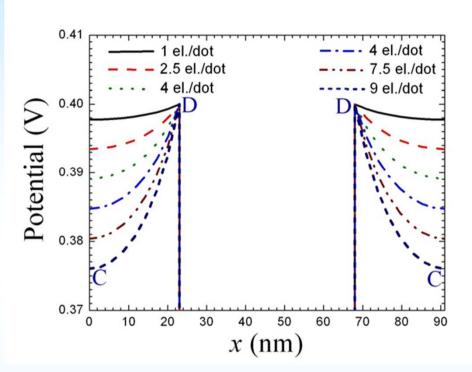
Visible and IR contributions to the QDoSC photocurrent are not additive.

Built-in-dot charge (number of electrons)	Total enhancement of <i>I</i> _{SC} (mA/cm ²)	IR contribution to <i>I</i> _{SC} (mA/cm ²)
0 (no doping)	0.5	4
2	2.5	7
6	9	9

- Without the built-in-dot charge, the IR harvesting by QDs is practically killed by the recombination losses introduced by QDs.
- The total enhancement is small ~ 0.5 mA/cm² compared to 4 mA/cm² IR contribution. Such small improvement was observed in a number of recent papers.
- The built-in-dot charge improves IR harvesting and the corresponding potential barriers limit recombination processes.
 K. Sablon, V. Mitin, et al., Nano Letters, May 2011

Capture and Potential Barriers around QDs





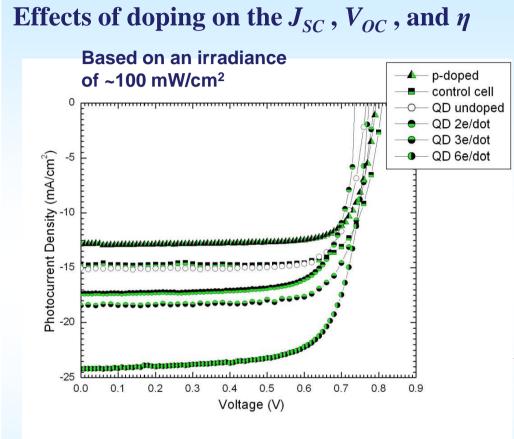
 Potential barriers around QDs suppress the capture of photoelectrons.



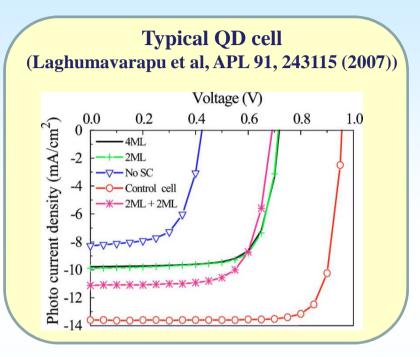
Corresponding potential barriers for electrons in the QD plane A-B as a function of built-in-dot electron charge.

K. Sablon, V. Mitin, et al., Nano Letters, May 2011

Conclusion for High Photovoltaic Efficiency due to Doping (ARL+UB)



Patent: K. A. Sablon, V. Mitin, A. Sergeev, N. Vagidov, J. W. Little, and K. Reinhardt, "Barrier-enhanced quantum-dot solar cell," provisional patent R-6576.



- ✤ The photocurrent density, J_{SC} , increases from 15 mA/cm² to 24.3mA/cm² with increasing dot population.
- The power conversion efficiency increased by 4.5%, 30%, and 50% with increasing doping levels to provide 2, 3, and 6 electrons per dot.
- This can attributed to dopant-induced generation processes.

K. Sablon, V. Mitin, et al., Nano Letters, May 2011

Summary

- 1. Radical improvements of SCs due to quantum dots with the built-incharge (Q-BIC) have been demonstrated;
- 2. These improvements are associated with
 - (i) enhancement of intersubband QD transitions and transitions
 - from localized QD states to conducting states in matrix,
 - (ii) suppression of photoelectron capture processes;
- 3. Strong effects of "**optical pumping**" on IR absorption (harvesting) is demonstrated;
- 4. The reported data are limited by the value of the built-in-charge of **six** electrons per dot.

We are still far from saturation of positive effects due to interdot doping.

- 5. The developed technology is protected by three provisional patents and UB STOR is helping us to commercionalize the technology.
- 6. Major results are published in

